

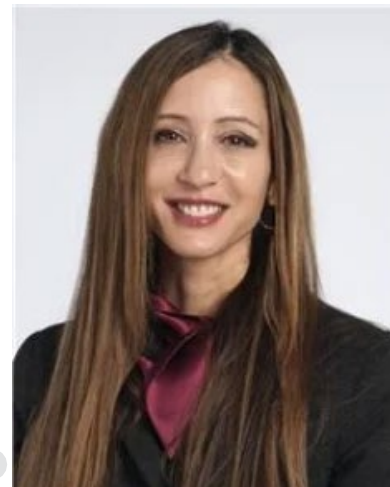
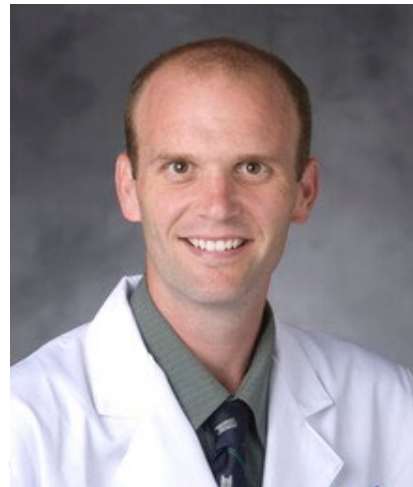
Williams Lecture: The randomized registry trial: A model for cost effective and efficient multi-center trials.

Kevin Hill

Moderators:

Tara Karamlou

Nicolas Madsen





The PC⁴/PAC³ Spring Conference April 28th-30th

STRESS Trial funded by National Center for Advancing
Translational Sciences

CORD-CHD funded by National Heart Lung and Blood Institute



OUR FIELD HAS A LONG HISTORY OF INNOVATION

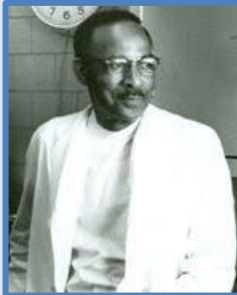


1936: First ever CHD surgery



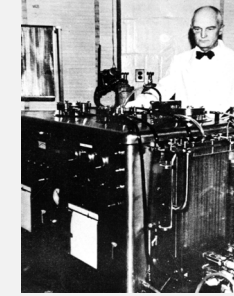
Robert Gross and Lorraine Sweeney

1944: First palliation of complex CHD



Vivien Thomas, Helen Taussig and Alfred Blalock
1944: Introduced the BTTS to treat "blue babies"

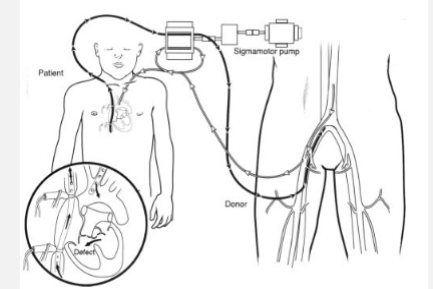
1950's: Origins of CPB



John Gibbon
1953: 1st open heart surgery using bubble oxygenator

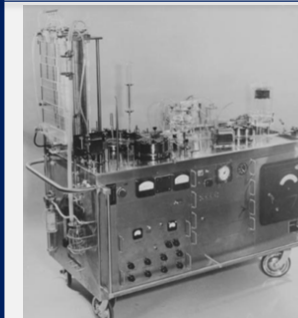
C. Welton Lillehei

1955: Human Cross Circulation



John Kirklin

1955: Mechanical CPB





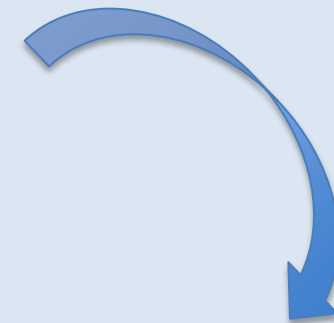
1951: First ever interventional cardiac cath



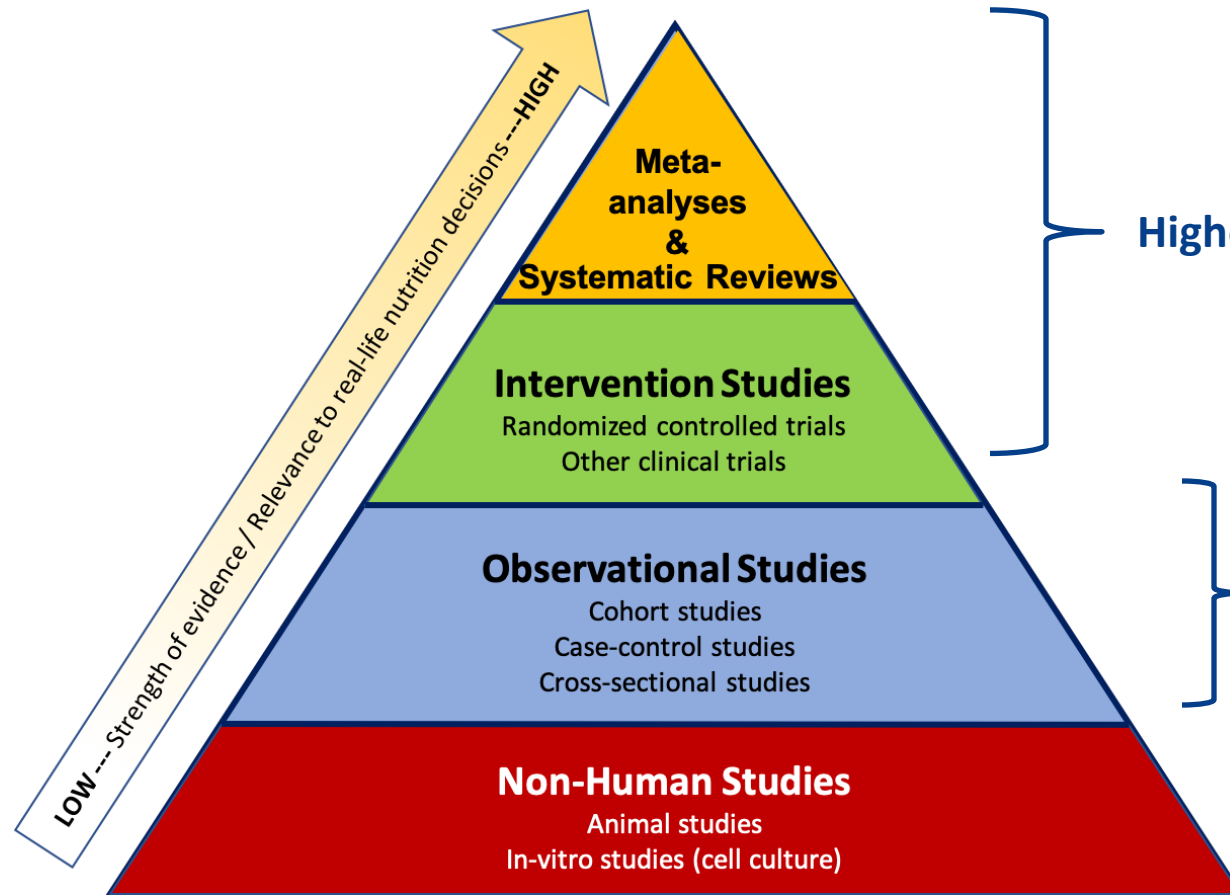
William Rashkind



2000: First ever trans-catheter valve implantation

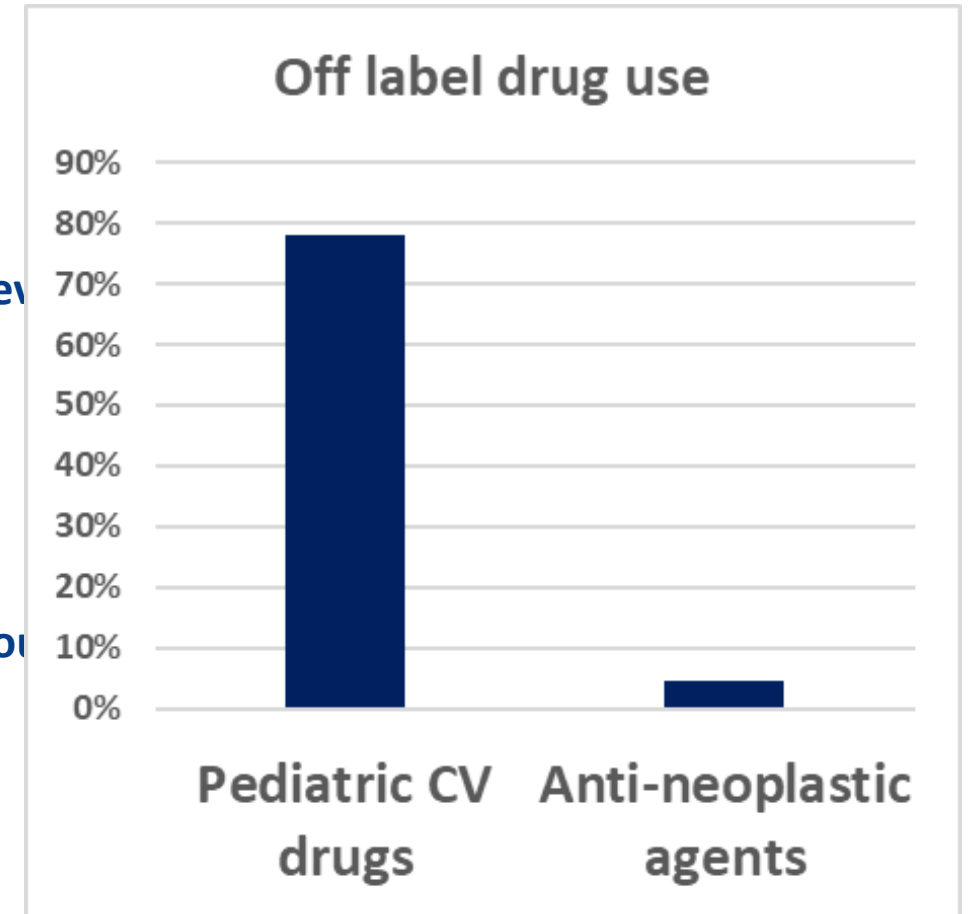


AND YET...



Highest quality evidence

Most of our evidence



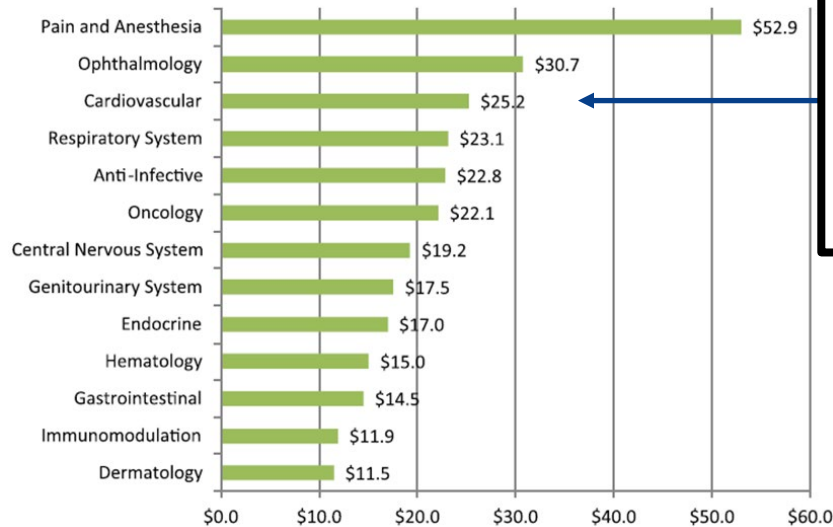
Pasquali et al. Off-Label Use of Cardiovascular Medications in Children Hospitalized With Congenital and Acquired Heart Disease. *Circulation: Cardiovascular Quality and Outcomes*. 1 (2) 2008

CLINICAL TRIAL BARRIERS



HIGH COST

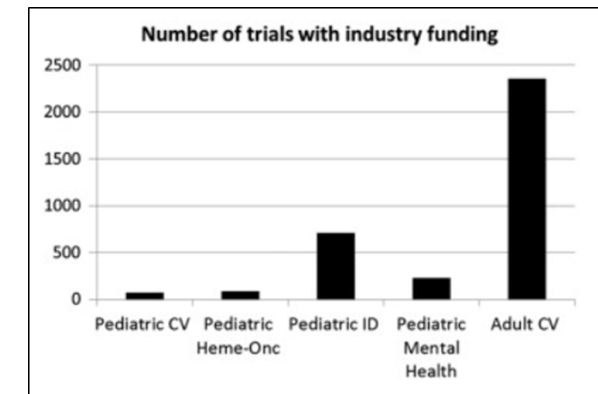
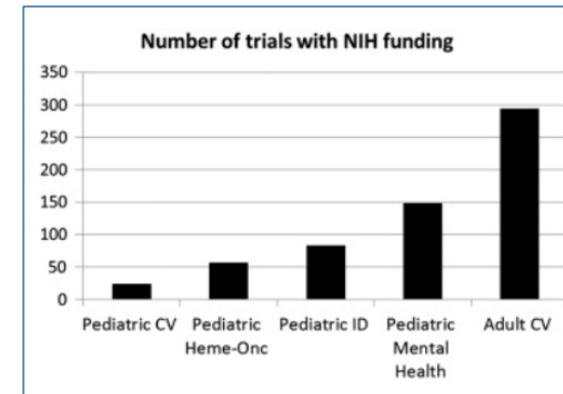
Phase 3



Average cost for a phase III cardiac RCT: \$25.2 million.

Average cost per patient enrolled: \$13,400

LIMITED FUNDING



Setkaya et al. **Key cost drivers of pharmaceutical clinical trials in the United States.** [Clin Trials](#). 2016 Apr;13(2):117-26.

Hill, KD et al. **Characteristics of pediatric cardiovascular clinical trials registered on ClinicalTrials.gov.** [Am Heart J](#). 2014 Jun;167(6):921-9.e2.

CLINICAL TRIAL BARRIERS



CHALLENGES CONSENTING AND ENROLLING CHILDREN

Lessons Learned from a Pediatric Clinical Trial: The Pediatric Heart Network Angiotensin Converting Enzyme Inhibition in Mitral Regurgitation Study

Jennifer S. Li, MD MHS¹, Steven D. Colan, MD², Lynn A. Sleeper, Sc.D², Jane W. Newburger, MD MPH³, Victoria L. Pemberton, RNC MS⁴, Andrew M. Atz, MD⁵, Meryl S. Cohen, MD⁶, Fraser Golding, MD⁷, Gloria L. Klein², Ronald V. Lacro, MD³, Elizabeth Radojewski, RN⁷, Marc E. Richmond, MD⁸, and L. LuAnn Minich, MD⁹ for the Pediatric Heart Network Investigators

[Am Heart J. 2011 Feb; 161\(2\): 233-240.](#)

Target enrollment: 92

10 sites, 18 months, \$408,950 → 8 participants

The Study of Antiarrhythmic Medications in Infancy (SAMIS) A Multicenter, Randomized Controlled Trial Comparing the Efficacy and Safety of Digoxin Versus Propranolol for Prophylaxis of Supraventricular Tachycardia in Infants

Shubhayan Sanatani, MD; James E. Potts, PhD; John H. Reed, MD, MPH; J. Philip Saul, MD; Elizabeth A. Stephenson, MD; Karen A. Gibbs, RN, CCRP; Charles C. Anderson, MD; Andrew S. Mackie, MD; Pamela S. Ro, MD; Sijetlana Tisma-Dupanovic, MD; Ronald J. Kanter, MD; Anjan S. Batra, MD; Anne Fournier, MD; Andrew D. Blaufox, MD; Harinder R. Singh, MBBS, MD, DCH; Bertrand A. Ross, MD; Kenny K. Wong, MD; Yaniv Bar-Cohen, MD; Brian W. McCrindle, MD, MPH; Susan P. Etheridge, MD

Circulation: Arrhythmia and Electrophysiology
Volume 5, Issue 5, October 2012; Pages 984-991
<https://doi.org/10.1161/CIRCEP.112.972620>

Target enrollment: 220

19 sites, 4 years → 61 participants

Congenital Heart Disease

A Multicenter, Randomized Trial Comparing Heparin/Warfarin and Acetylsalicylic Acid as Primary Thromboprophylaxis for 2 Years After the Fontan Procedure in Children

Paul Monagle, MD, MSc, MBBS,* Andrew Cochrane, MD,* Robin Roberts, MSc,† Cedric Manliot, BSc,‡ Robert Weintraub, MBBS,* Barbara Szechtman, BA,† Marina Hughes, DPhil,§ Maureen Andrew, MD,‡ Brian W. McCrindle, MD, MPH,‡ for the Fontan Anticoagulation Study Group

JACC Vol. 58, No. 6, 2011
August 2, 2011:645-51

Target enrollment: 224

6 sites, 5 years → 111 participants

CLINICAL TRIAL BARRIERS



CHALLENGES INTERPRETING RESULTS IN OUR HETEROGENEOUS PATIENT POPULATION

Carvedilol for Children and Adolescents With Heart Failure

A Randomized Controlled Trial

Robert E. Shaddy, MD; Mark M. Boucek, MD; Daphne T. Hsu, MD; [et al](#)

Pts with a systemic LV
tended to improve,
pts with a systemic RV
tended to get worse

Table 2. Primary End Point and Prespecified Subgroup Analyses

	No. (%) of Participants		P Value
	Placebo (n = 54)	Combined Carvedilol (n = 103)	
All patients			
Improved	30 (56)	58 (56)	.74
Unchanged	8 (15)	20 (19)	
Worsened	16 (30)	25 (24)	
Dilated cardiomyopathy	(n = 33)	(n = 60)	
Improved	18 (55)	41 (68)	.24
Unchanged	6 (18)	6 (10)	
Worsened	9 (27)	13 (22)	
Congenital heart disease with systemic left ventricle	(n = 6)	(n = 17)	
Improved	2 (33)	8 (47)	.29
Unchanged	1 (17)	6 (35)	
Worsened	3 (50)	3 (18)	
Congenital heart disease without systemic left ventricle	(n = 15)	(n = 26)	
Improved	10 (67)	9 (35)	.14
Unchanged	1 (7)	8 (31)	
Worsened	4 (27)	9 (35)	
Systemic left ventricle ^a	(n = 39)	(n = 77)	
Improved	20 (51)	49 (64)	b
Not improved	19 (49)	28 (36)	
Without systemic left ventricle ^c	(n = 15)	(n = 26)	
Improved	10 (67)	9 (35)	b
Not improved	5 (33)	17 (65)	

SUMMARY



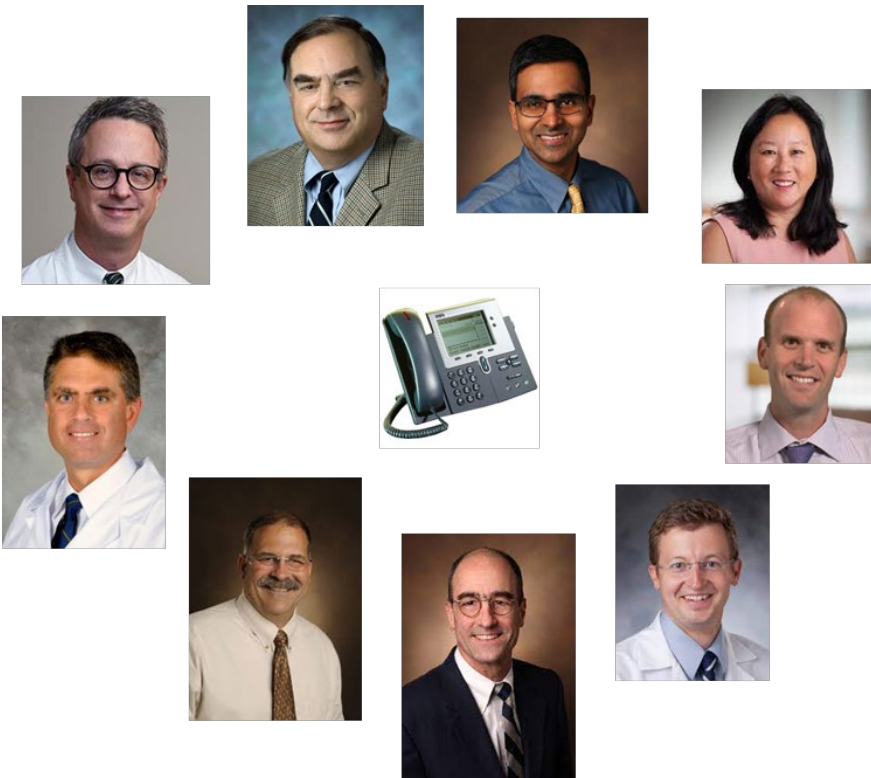
- ☐ High costs
- ☐ Limited funding
- ☐ Difficult to enroll
- ☐ Challenging to interpret



Frank started to get a funny feeling that his doctor was a quack.



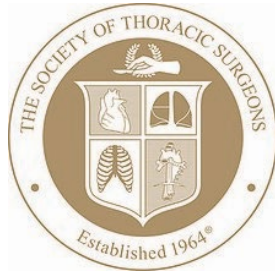
Cheaper, Easier, More efficient, More interpretable



- **Leverage existing resources**
 - Reduce costs, improve efficiency
- **Pragmatic trials**
 - Test hypotheses in real world settings
 - Reduce costs
- **Novel endpoints**
 - Improve study power → smaller “N”



Data from >96% of children undergoing a cardiac intervention in the U.S. are entered into a CHD registry



Quality Improvement
for Institutions





Can we leverage our registry infrastructure to make trials more efficient and cost effective?

The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

OCTOBER 24, 2013

VOL. 369 NO. 17

Thrombus Aspiration during ST-Segment Elevation Myocardial Infarction

Ole Fröbert, M.D., Ph.D., Bo Lagerqvist, M.D., Ph.D., Göran K. Olivecrona, M.D., Ph.D., Elmir Omerovic, M.D., Ph.D., Thorarinn Gudnason, M.D., Ph.D., Michael Maeng, M.D., Ph.D., Mikael Aasa, M.D., Ph.D., Oskar Angerås, M.D., Fredrik Calais, M.D., Mikael Danielewicz, M.D., David Erlinge, M.D., Ph.D., Lars Hellsten, M.D., Ulf Jensen, M.D., Ph.D., Agneta C. Johansson, M.D., Amra Kåregren, M.D., Johan Nilsson, M.D., Ph.D., Lotta Robertson, M.D., Lennart Sandhall, M.D., Iwar Sjögren, M.D., Ollie Östlund, Ph.D., Jan Harnek, M.D., Ph.D., and Stefan K. James, M.D., Ph.D.

7259/11709 pts undergoing STEMI in Sweden enrolled

Avg cost per pt enrolled = \$50

The Randomized Registry Trial — The Next Disruptive Technology in Clinical Research?

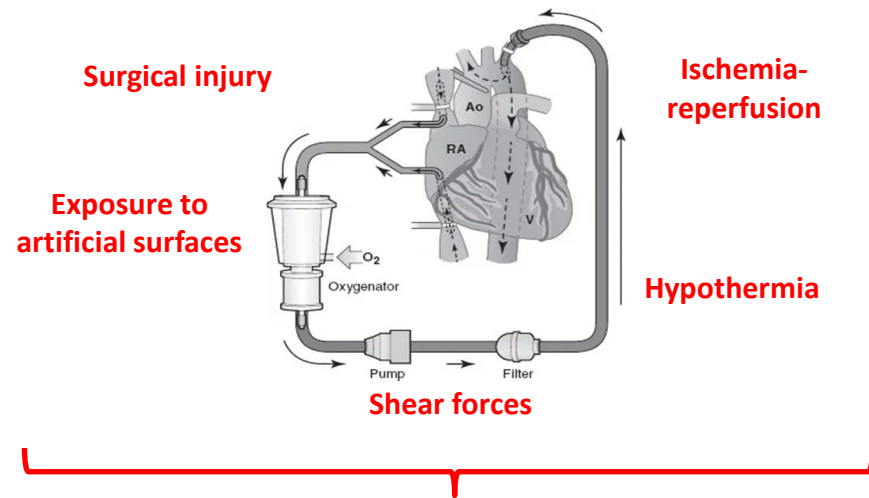
Michael S. Lauer, M.D., and Ralph B. D'Agostino, Sr., Ph.D.

“The randomized registry trial represents a technology that transforms existing standards, procedures, and cost structures.”

BACKGROUND: PERIOPERATIVE CORTICOSTEROIDS



Used to treat CPB-related systemic inflammatory response



Safety and efficacy not established in children

Registry Data (2011-'16)

52% of neonatal surgeries used pre/perioperative steroids

- **Adult trials (DECS¹, SIRS²)**
 - ☐ >12,000 randomized
 - ☐ Meta-analysis³:
 - No difference in mortality, stroke, renal failure, afib
 - Reduced respiratory failure, infection, LOS
- **Pediatric trials⁴**
 - ☐ Meta-analysis: 10 RCTs conducted over 4 decades, 768 children enrolled
 - Improved fluid balance at 24, 36 hrs
 - No difference in any other endpoints
 - But underpowered

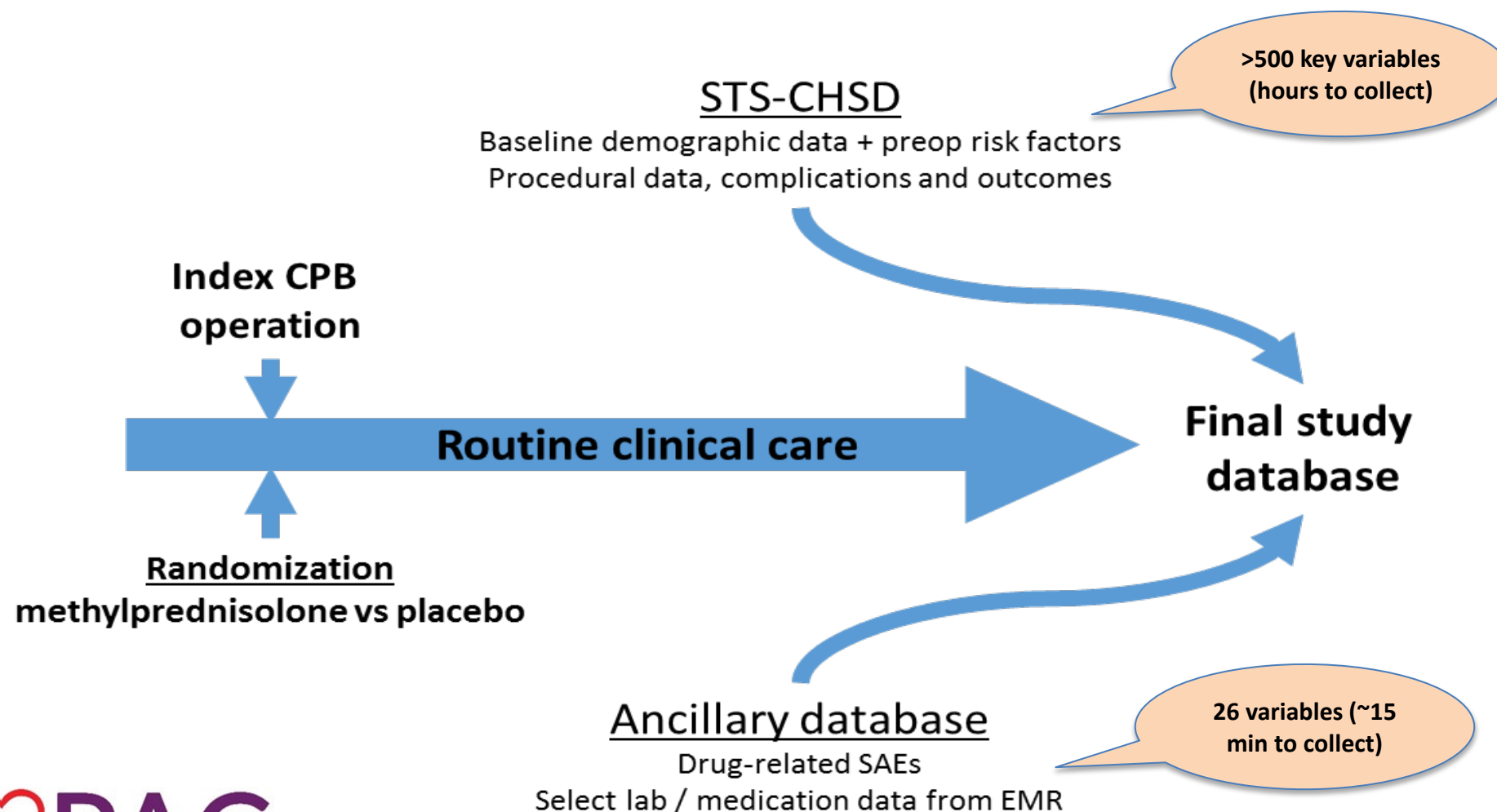
1. Dieleman et al. JAMA 2012 Nov 7;308(17):1761-7
2. Whitlock et al. Lancet 2015 Sep 26;386(10000):1243-53

3. Dvirnik et al. BRJ Anaesth 2018 Apr;120(4):657-67
4. Bronicki et al. Ann Thorac Surg 2021

TRIAL WITHIN A REGISTRY



Concept: Leverage existing resources to conduct a low cost, pragmatic RCT





American Heart Journal
Volume 226, August 2020, Pages 188-197



Clinical Investigation

Overcoming underpowering: Trial simulations and a global rank end point to optimize clinical trials in children with heart disease

RANKED ENDPOINTS



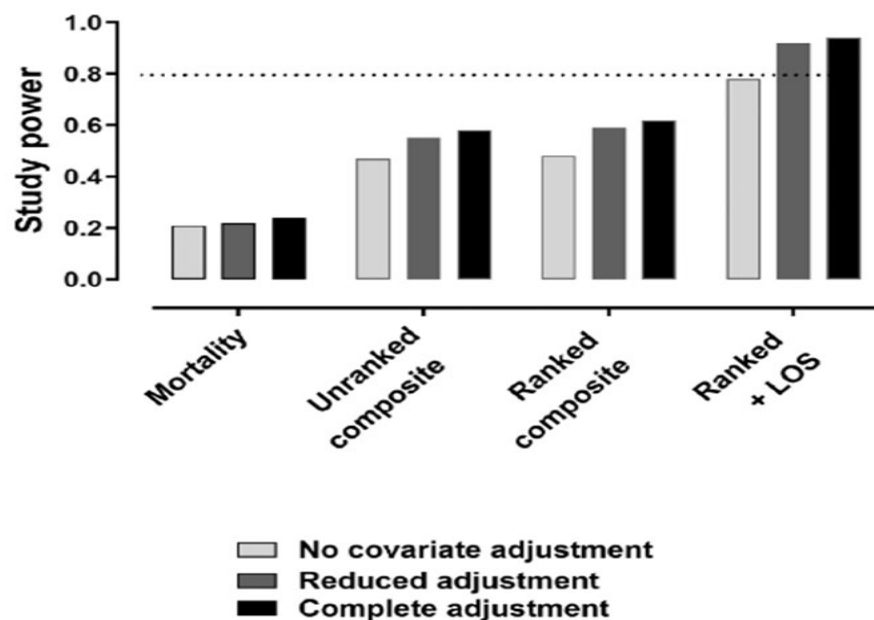
Rank	Description
97	Operative mortality
96	Heart transplant (during hospitalization)
95	Renal failure with permanent dialysis
	Neurologic deficit persistent at discharge
	Respiratory failure requiring tracheostomy
94	Post-operative mechanical circulatory support
	Unplanned cardiac reoperation
93	Reoperation for bleeding
	Unplanned delayed sternal closure
	Post-op unplanned interventional catheterization
92	Post-op cardiac arrest
	Multi-system organ failure
	Renal failure with temporary dialysis
	Prolonged ventilator support (> 7 days)
91	Post-operative length of stay > 90 days
1-90	Post-operative length of stay

Advantages

- ☐ Weighting of endpoints consistent with clinical relevance
- ☐ Include more endpoints without diluting out the impact of key endpoints
- ☐ Include binary and continuous outcomes

Power gains

Figure 1



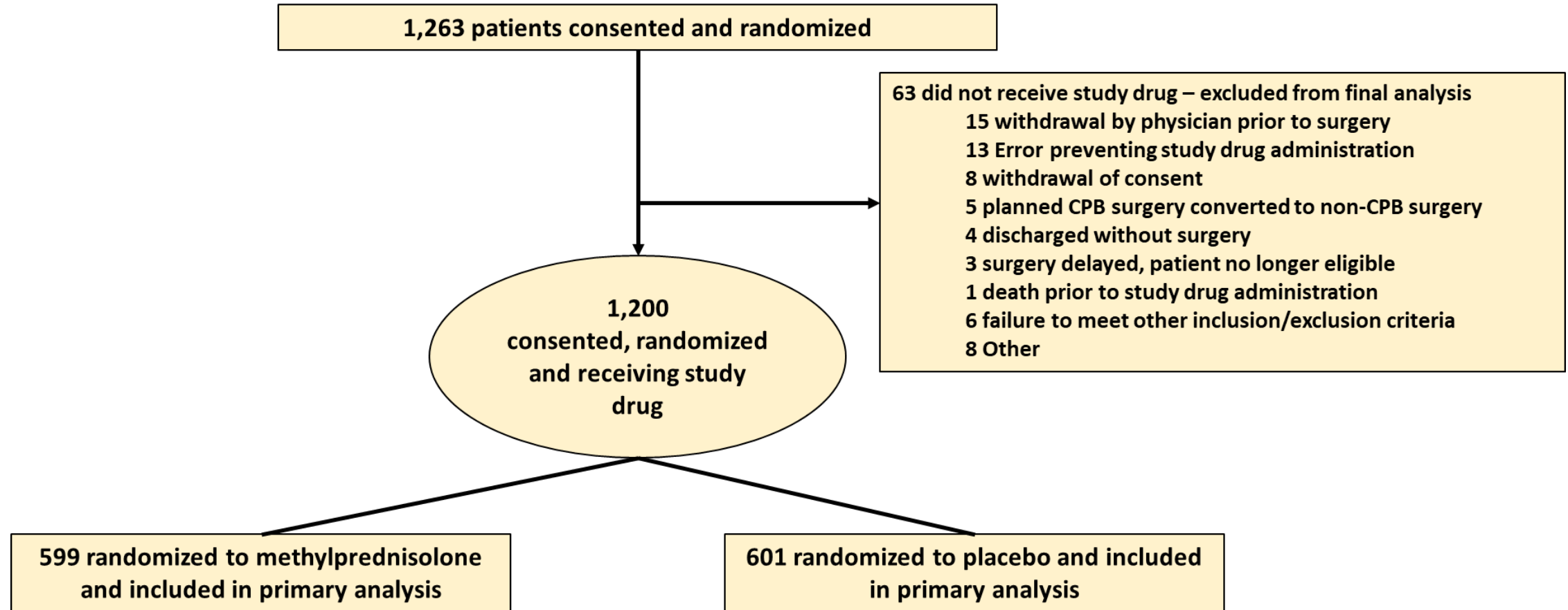
- **Primary endpoint: Ranked composite**

- ☐ Covariate adjusted primary analysis
 - 1200 participants: > 90% power

- **Secondary endpoints**

- ☐ Unadjusted analysis and “Win Ratio”
- ☐ Composite mortality/major morbidity (>91)
- ☐ Safety Endpoints
 - *Composite infection, Hyperglycemia, Insulin administration*

RESULTS: TRIAL COHORT



RESULTS: BASELINE CHARACTERISTICS



Similar distribution of baseline characteristics

Characteristic	Steroids N=599	Placebo N=601
Median age at surgery, days (Q1, Q3)	126 (14, 191)	124 (14, 182)
Age Category		
≤30 days	177/599 (29.5%)	187/601 (31.1%)
Median wt at surgery, kg (Q1, Q3)	5.2 (3.7, 6.4)	5.0 (3.6, 6.3)
Male sex	320/599 (53.4%)	334/600 (55.7%)
Premature	100/598 (16.7%)	93/599 (15.5%)
Non-cardiac congenital anatomic abn.	26/599 (4.3%)	15/600 (2.5%)
Chromosomal abnormality or syndrome	200/599 (33.4%)	183/600 (30.5%)
Prior cardiothoracic operation	81/599 (13.5%)	110/600 (18.3%)
Any preoperative risk factor	223/594 (37.5%)	212/594 (35.7%)
Median CPB time, min (Q1, Q3)	122.0 (88, 161)	121.0 (90, 160)

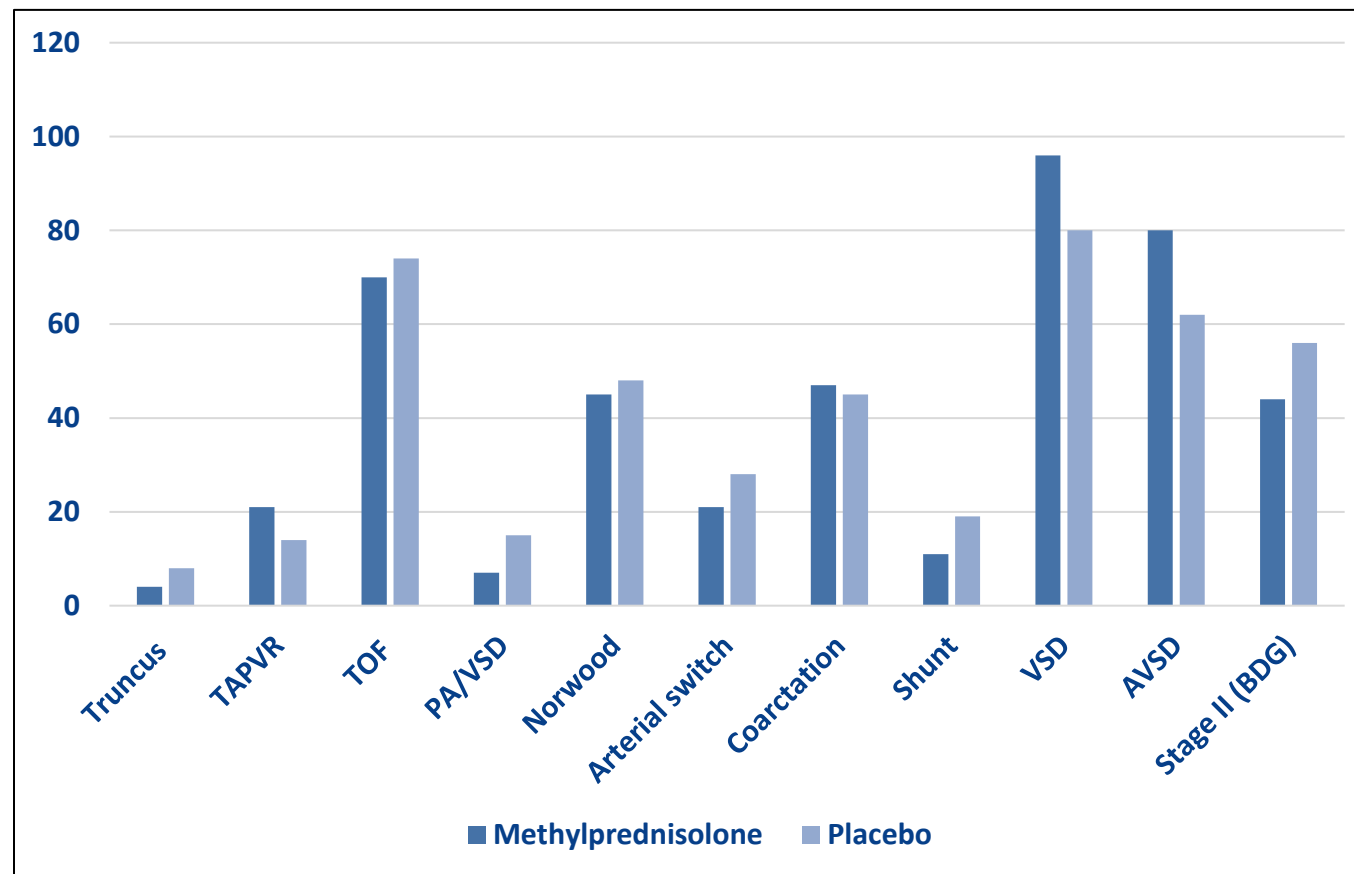
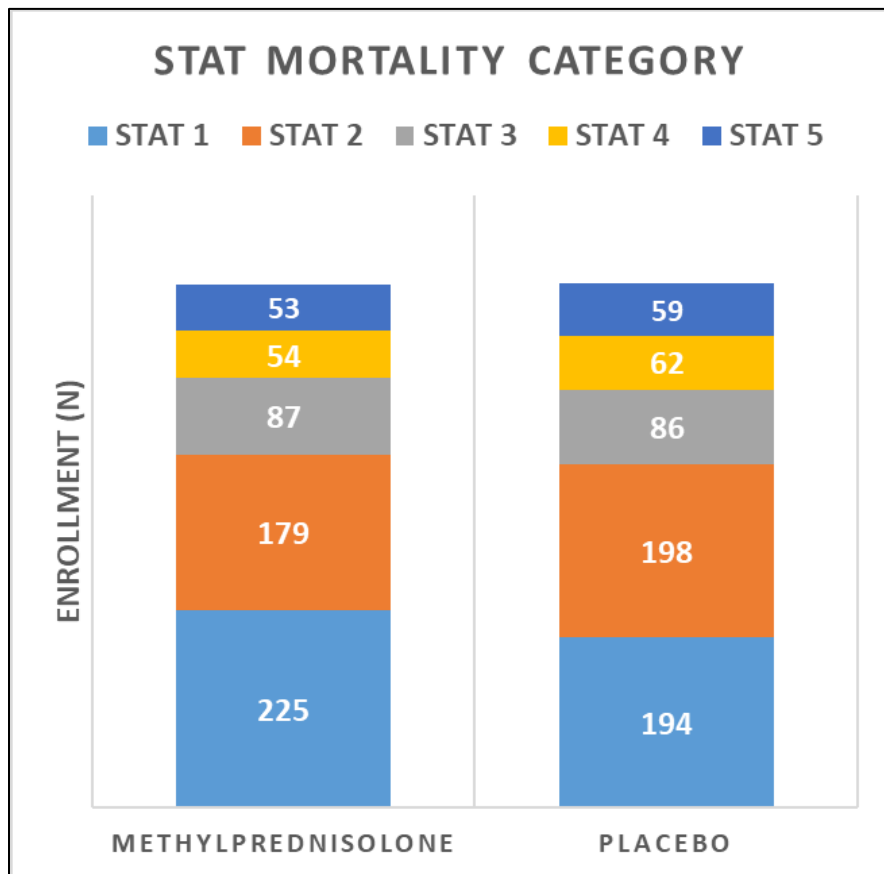
Diverse participant cohort

Characteristics	Steroids N=599	Placebo N=601
Ethnicity ²		
Hispanic or Latino	80/580 (13.8%)	63/584 (10.8%)
Not Hispanic or Latino	500/580 (86.2%)	521/584 (89.2%)
Race ³		
Caucasian	428/585 (73.2%)	425/583 (72.9%)
Black/African American	90/585 (15.4%)	102/583 (17.5%)
Asian	15/585 (2.6%)	12/583 (2.1%)
American Indian/Alaska Native	5/585 (0.9%)	4/583 (0.7%)
Native Hawaiian/Pacific Islander	4/585 (0.7%)	0
Multiracial	13/585 (2.2%)	15/583 (2.6%)
Other	30/585 (5.1%)	25/583 (4.3%)

RESULTS



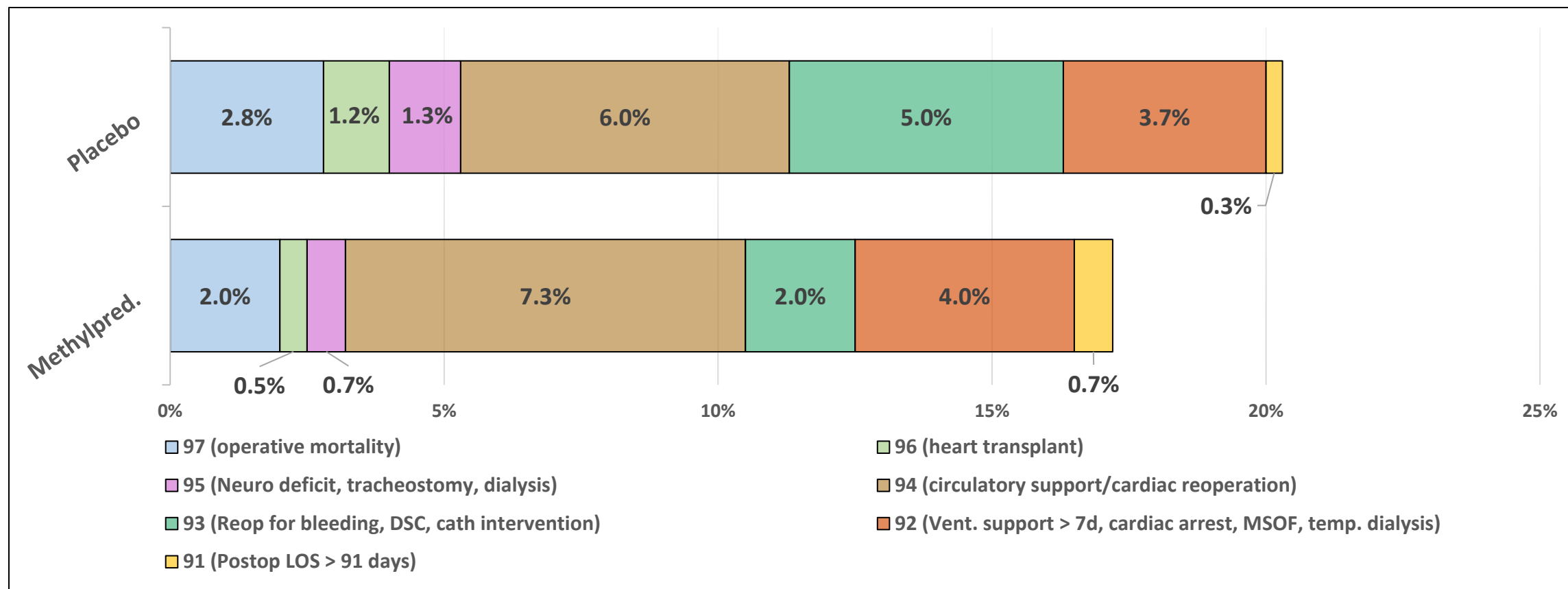
CASE COMPLEXITY AND PROCEDURAL DISTRIBUTION



RESULTS: PRIMARY OUTCOME



Adjusted OR = 0.86, 95% CI 0.71 to 1.05; p=0.14



RESULTS: SECONDARY OUTCOMES



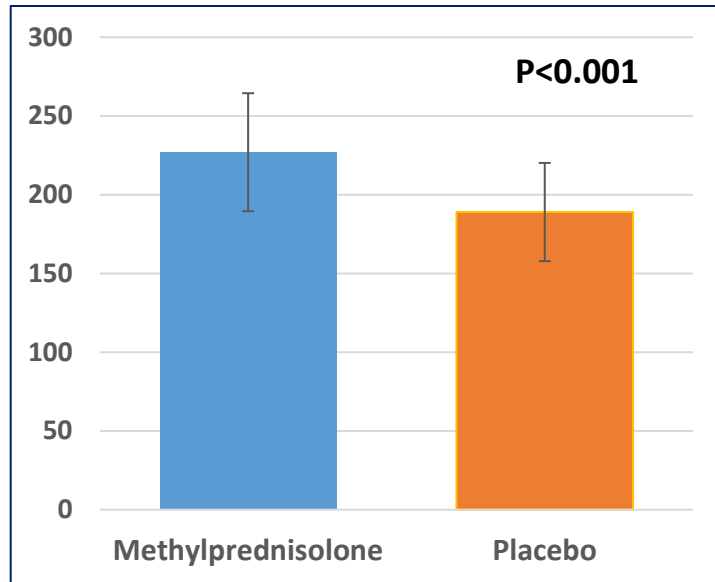
Component	Steroids N=599	Placebo N=601	OR	95% CI	P-value
Unadjusted analysis of primary outcome	NA	NA	0.82	0.67, 1.00	0.047
Win ratio analysis of primary outcome	NA	NA	1.15	1.00, 1.32	0.046
Operative mortality	2.0%	2.8%	0.74	0.34, 1.57	0.428
Composite morbidity/mortality (Rank > 91)	17.2%	20.3%	0.83	0.61, 1.13	0.228
Prolonged (> 7 days) post-operative mechanical ventilation	6.8%	8.5%	0.79	0.50, 1.25	0.309
Post-op low cardiac output syndrome	5.2%	6.2%	0.91	0.52, 1.57	0.723
Post-operative infectious complication	5.2%	4.0%	1.39	0.80, 2.42	0.242
Bleeding requiring reoperation	1.2%	3.5%	0.34	0.14, 0.81	0.016
Post-operative hospital LOS, median (IQR)	10 (6, 20)	11 (6, 23)	1.11	0.99, 1.25	0.066

←
←
Favor MP
←

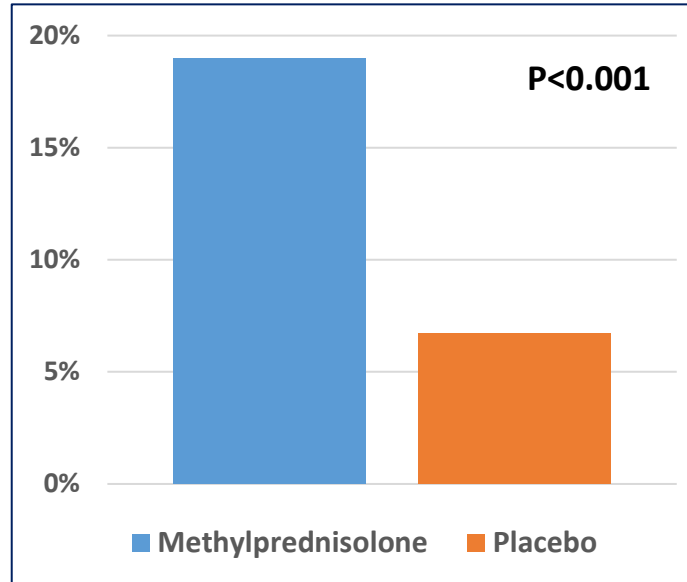
RESULTS: SAFETY AND OTHER OUTCOMES



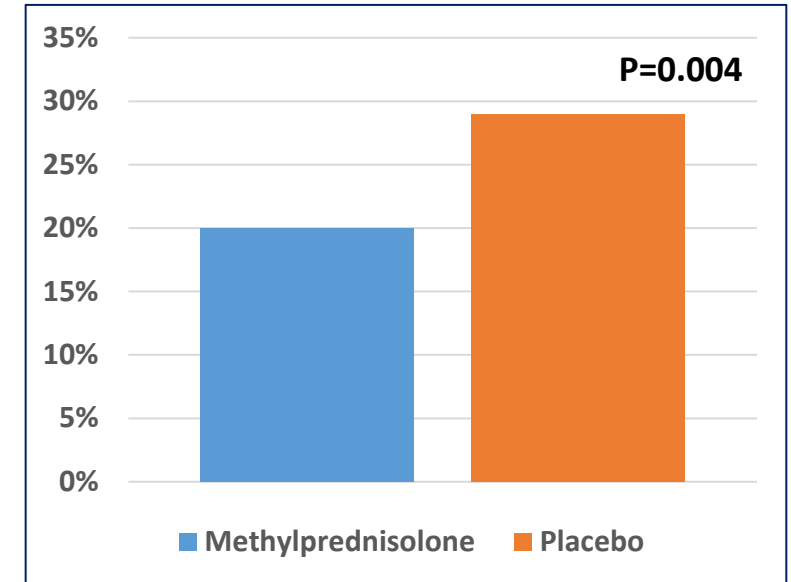
Methylprednisolone with higher post-operative blood glucose



Methylprednisolone more likely to receive post-op insulin



Methylprednisolone less likely to receive post-op hydrocortisone

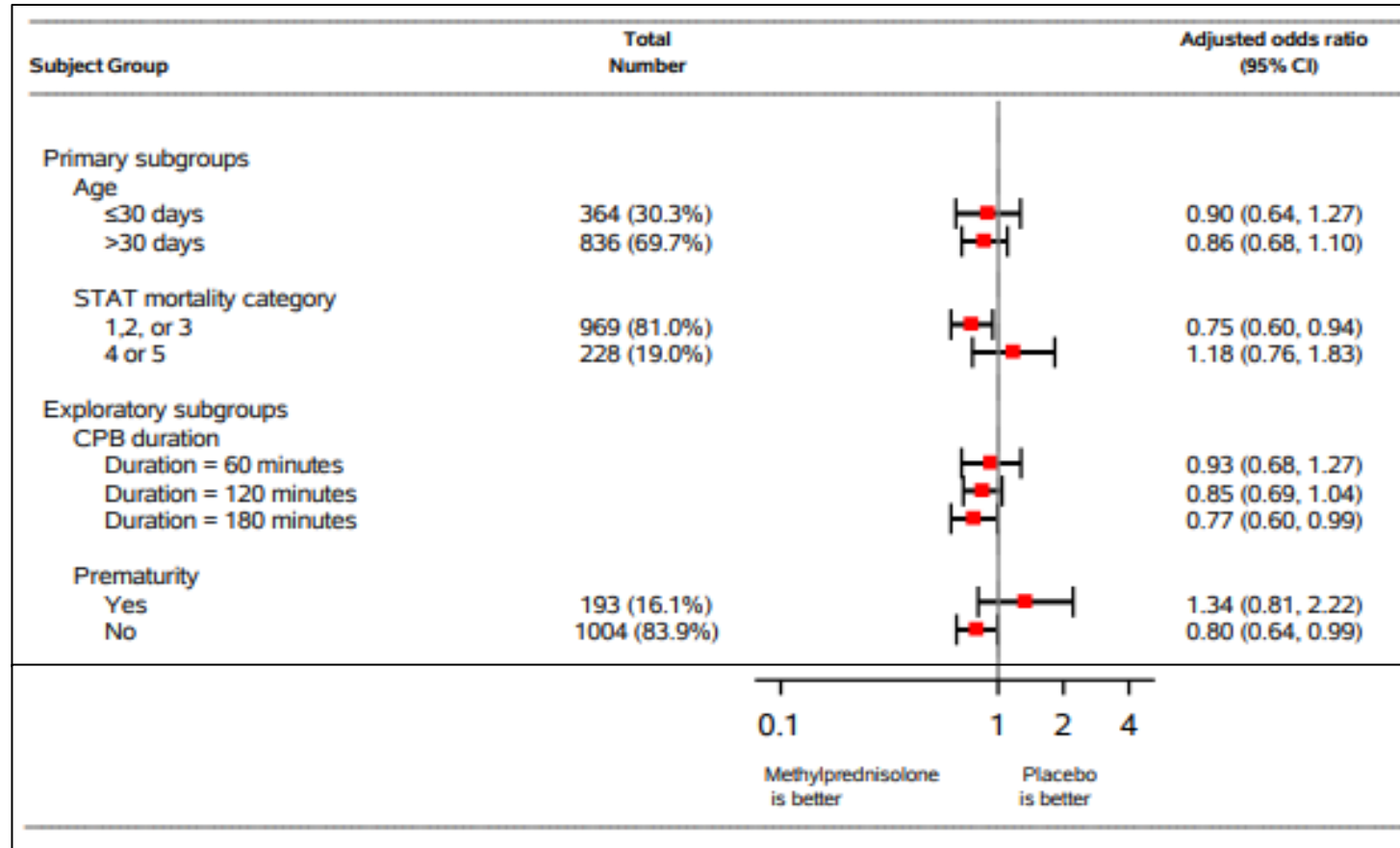


No differences in rates of any other complications

RESULTS: SUBGROUP ANALYSES



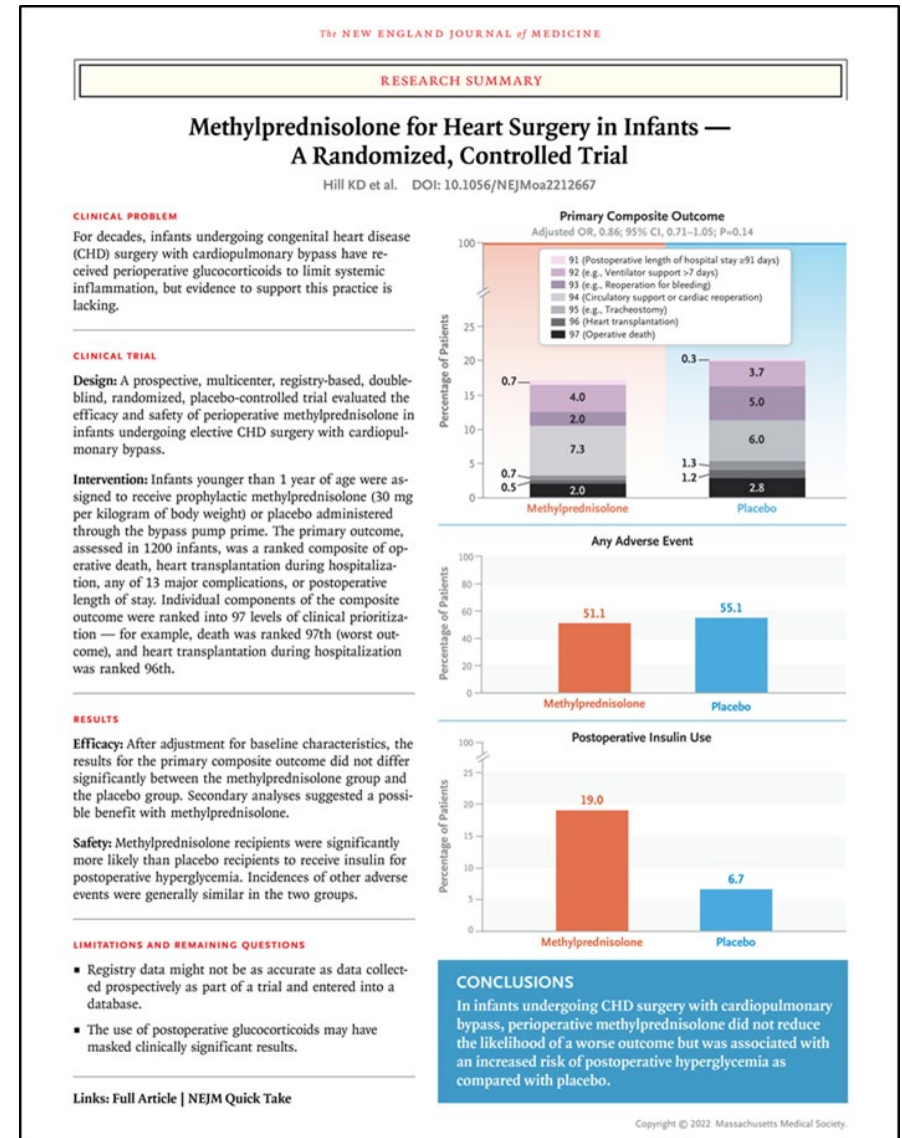
Potential benefit in STAT 1,2,3 cases, longer bypass duration and non-premature infants



STRESS CONCLUSIONS



- MP did not decrease the likelihood of the risk adjusted composite primary endpoint but did reduce the likelihood of the primary endpoint when analyzed without risk adjustment and increased the “Win Ratio”
- MP increased the likelihood of hyperglycemia
- Participants receiving MP were less likely to receive post-op hydrocortisone
- In subgroup analysis, there was benefit for:
 - Lower complexity operations (STAT 1,2,3)
 - Infants with longer CPB times
 - Non-premature infants



WAS STRESS A SUCCESS?



Successfully enrolled CHD patients in a surgical RCT at 24 centers

Trial	Publication date (Journal)	# enrolled	Centers	Country	Comparison
NITRIC	2022 (JAMA)	1371	6	Aus/NZ	iNO in CPB circuit vs Usual Care
STRESS	2022 (NEJM)	1200	24	US	Methylprednisolone vs Placebo
SPECS	2012 (NEJM)	980	2	US	Tight glycemic control vs UC
SVR	2009 (NEJM)	549	15	US	Sano vs MBTT shunt
DECISION	2020 (JAMA)	394	4	Russia	Dexamethasone vs Placebo
TRICC	2010 (Circ)	198	5	US	T3 vs Placebo
Graham et al.	2019 (Circ)	176	2	US	Methylprednisolone vs Placebo
Boston Circ. Arrest	1993 (NEJM)	171	1	US	Circ Arrest vs. Low Flow Bypass

WAS STRESS A SUCCESS?



Inclusive investigator initiated trial (no network support)



*24 centers,
8 with > 50
subjects
enrolled*

WAS STRESS A SUCCESS?



Pragmatic, cost effective design

Line item	STRESS	PRAGMATIC	TRADITIONAL
STS (Data Access etc)	\$158,531	\$0	\$0
Site payments (\$7,500 start up, \$1,000 per patient)	\$1,430,006	\$2,104,706	\$5,928,232
Leadership (faculty, DSMB, steering com, project management)	\$530,819	\$533,342	\$942,986
Site management and monitoring	\$426,905	\$670,119	\$1,024,864
Data management and stats	\$400,961	\$523,293	\$1,343,372
Total budget	\$3,268,504	\$4,164,862	\$10,140,263
Cost per patient enrolled	\$2,724	\$3,470	\$8,450

WAS STRESS A SUCCESS?



Novel endpoint: The Global Rank

Component	Steroids N=599	Placebo N=601
Operative Mortality	12/599 (2.0%)	17/601 (2.8%)
Heart Transplant	4/599 (0.7%)	7/601 (1.2%)
Neurologic deficit persistent at discharge	1/599 (0.2%)	2/601 (0.3%)
Respiratory failure requiring tracheostomy	5/599 (0.8%)	9/601 (1.5%)
Post-operative mechanical circulatory support	31/599 (5.2%)	25/601 (4.2%)
Unplanned cardiac reoperation (exclusive of reop for bleeding)	30/599 (5.0%)	35/601 (5.8%)
Reoperation for bleeding	7/599 (1.2%)	21/601 (3.5%)
Unplanned delayed sternal closure	9/599 (1.5%)	7/601 (1.2%)
Post-op unplanned interventional cardiac catheterization	22/599 (3.7%)	33/601 (5.5%)
Post-op cardiac arrest	14/599 (2.3%)	21/601 (3.5%)
Multi-system organ failure	39/599 (6.5%)	35/601 (5.8%)
Renal failure with temporary dialysis	4/599 (0.7%)	4/601 (0.7%)
Prolonged ventilator support (> 7 days)	41/599 (6.8%)	51/601 (8.5%)
Length of stay > 90	18/599 (3.0%)	29/601 (4.8%)
Mean (SD) LOS (days)	20 ± 34.5	22.5 ± 35.2

Trends reassuring

- 11/15 favor MP
- 3/15 favor placebo

All other endpoints vastly underpowered

- Mortality
- Composite M/M
- Prolonged Ventilation
- Post-op LCOS

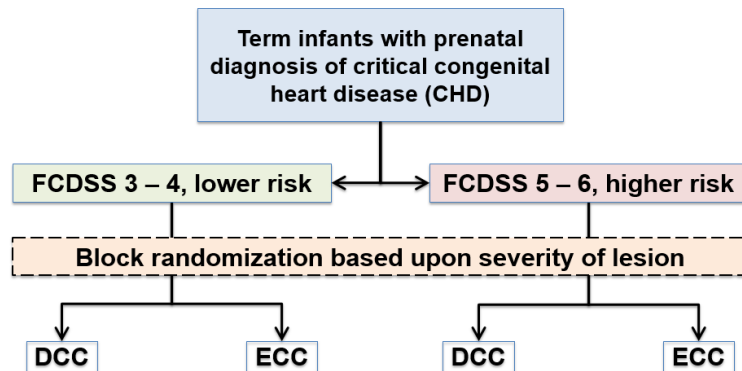
WAS STRESS A SUCCESS?



Springboard for future trials!

CORD-CHD Clamp **OR** Delay among neonates with Congenital **H**eart **D**isease

500 neonates randomized to early
versus delayed cord clamping (19 sites)



FCDSS: Fetal Cardiac Disease Severity Score



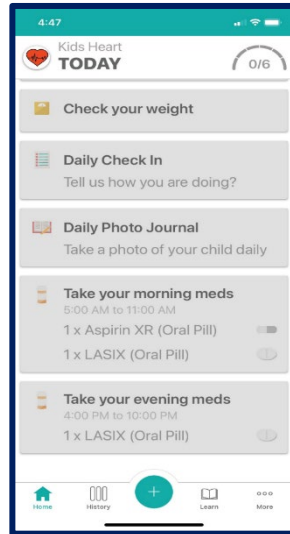
Endpoint	Rank
Death	97
Heart transplant	96
Postnatal complication preventing cardiac intervention	95
Neurological deficit persistent at discharge	
Tracheostomy	
Permanent renal failure requiring dialysis	
Unplanned surgery/cath after initial cardiac intervention	
Cardiac arrest	94
Pre-intervention mechanical circulatory support	
Post-intervention multi-system organ failure	
Pre-surgery/cath polycythemia preventing or delaying intervention	93
Pre-intervention mechanical ventilation	
Pre-intervention shock	
Post-intervention RF	92
Post-intervention prolonged mechanical ventilatory support (>7 d)	
Total hospital LOS >90 d	91
Total hospital LOS ≤90 d	1-90

STRESS LIMITATIONS (FUTURE DIRECTIONS)

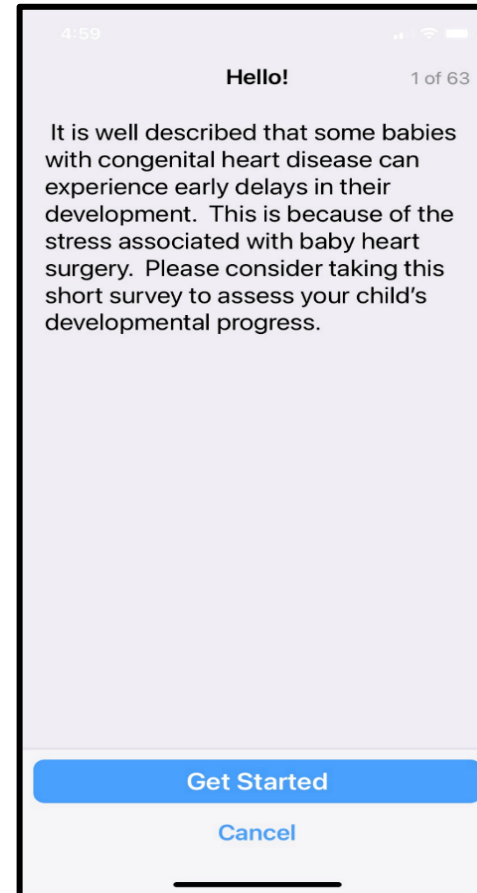


Longitudinal Follow-up

Track daily progress



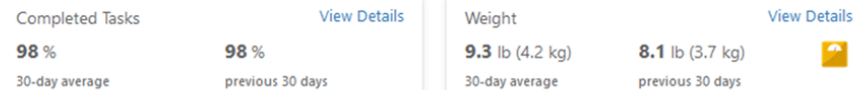
Neurodevelopment surveys



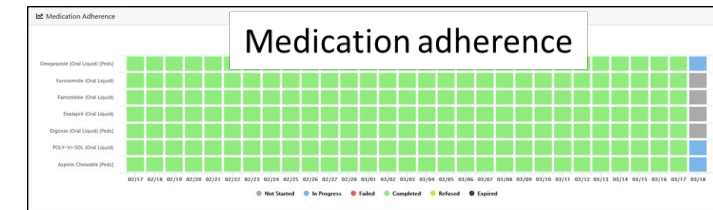
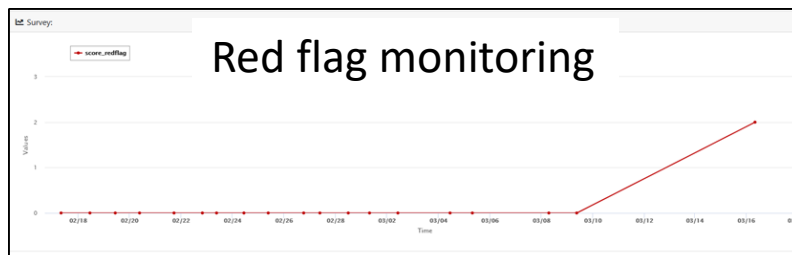
Track meds and compliance



Biometrics



Red flag monitoring



STRESS LIMITATIONS

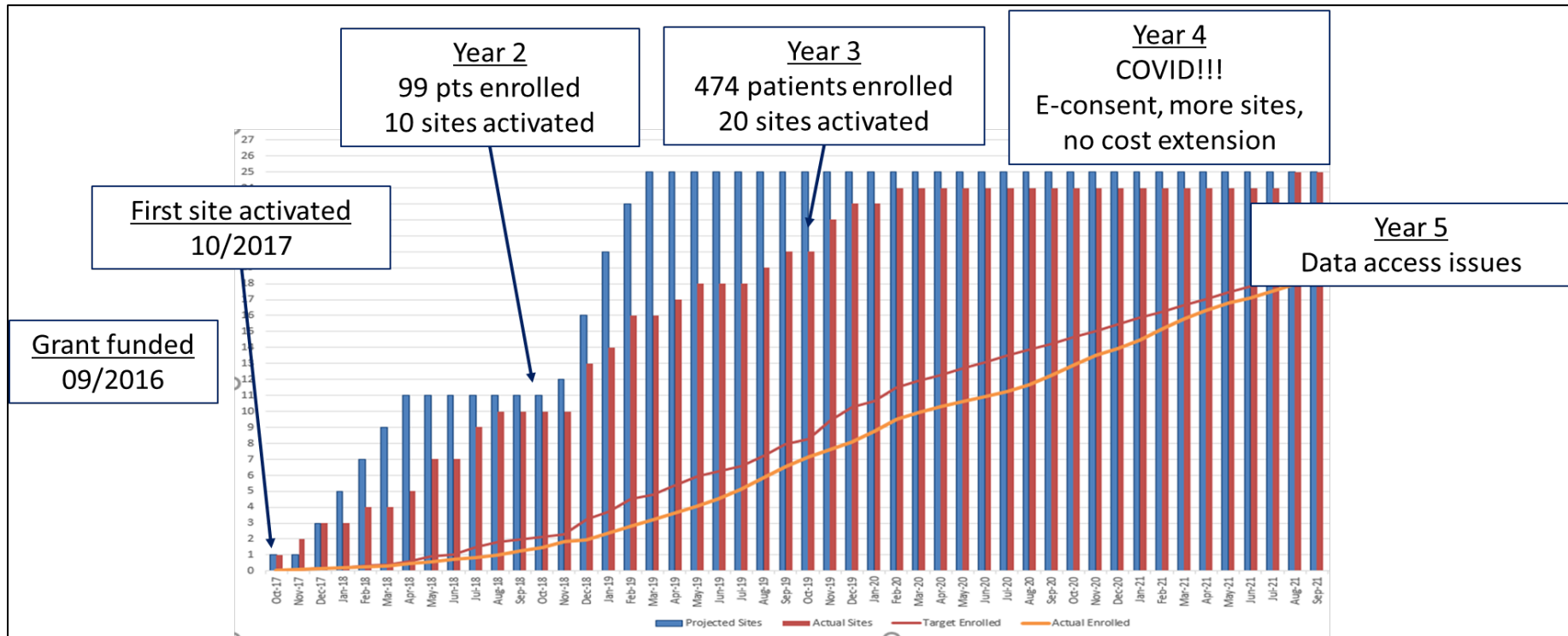


■ The challenges of consenting and enrollment

- *Delays at every step!!!*

➤ *6 years to finish*

➤ *Twice as many sites as originally anticipated*



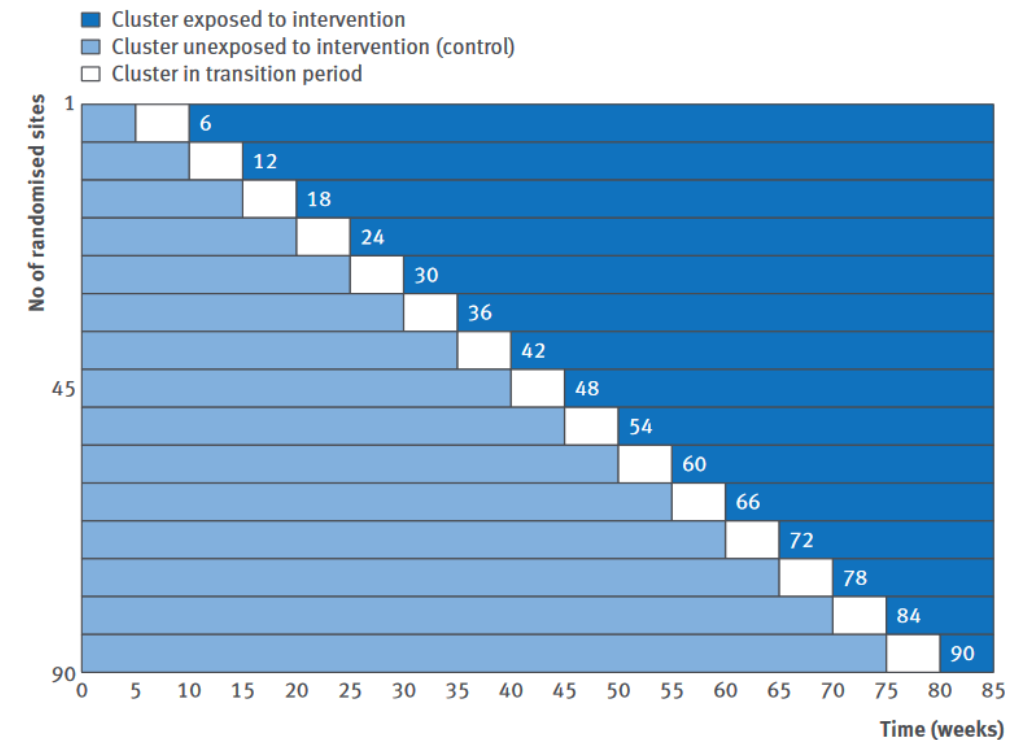
Are there more efficient trial designs?

Cluster Randomization

- Eliminates burden of consent
- Works for center-level interventions
 - *Infection control*
 - *Modified ultrafiltration*

Stepped wedge cluster RCT

Centers sequentially eliminate or alternatively implement the practice change



The NEW ENGLAND JOURNAL of MEDICINE

ORIGINAL ARTICLE

Therapeutic Hypothermia after Out-of-Hospital Cardiac Arrest in Children

RESULTS

A total of 295 patients underwent randomization. Among the 260 patients with data that could be evaluated and who had a VABS-II score of at least 70 before cardiac arrest, there was no significant difference in the primary outcome between the hypothermia group and the normothermia group (20% vs. 12%; relative likelihood, 1.54; 95% confidence interval [CI], 0.86 to 2.76; $P=0.14$). Among all the patients with data that

CONCLUSIONS

In comatose children who survived out-of-hospital cardiac arrest, therapeutic hypothermia, as compared with therapeutic normothermia, did not confer a significant benefit in survival with a good functional outcome at 1 year. (Funded by the Na-

Moler et al. NEJM. 2015;372:1898-1908. DOI: 10.1056/NEJMoa1411480.

ORIGINAL ARTICLE

A Bayesian Interpretation of a Pediatric Cardiac Arrest Trial (THAPCA-OH)

METHODS We performed a Bayesian analysis, interpreting the trial in probabilistic terms (i.e., the probability that therapeutic hypothermia had any benefit, and overall absolute improvements greater than 2%, 5%, and 10% for 1-year neurobehavioral outcome and

RESULTS In the primary analyses, the probability of any benefit from hypothermia was 94% for both the neurobehavioral outcome and survival at 1 year. For both outcomes, the

CONCLUSIONS There is a high probability that hypothermia provides a modest benefit in neurobehavioral outcome and survival at 1 year. (ClinicalTrials.gov number, [NCT00878644](https://clinicaltrials.gov/ct2/show/study/NCT00878644).)



➤ ***We need more clinical trials!!!***

- ***Cannot rely solely on networks***
- ***The pragmatic, randomized registry trial leverages our strengths (registry infrastructure and a collaborative community) and improves trial feasibility***
 - *Doable with existing funding mechanisms and infrastructure*
 - *We need good questions*
 - *Innovation to address our persisting challenges?*
 - *Longitudinal follow up*
 - *Challenges consenting/enrolling children*
 - *Challenges interpreting results*

THANK YOU TO OUR STRESS NETWORK



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**STRESS TRIAL
NETWORK**

CHECKLIST: DESIGNING A TRIAL WITH A REGISTRY



Question	Registry	Trial infrastructure	Regulatory support	Trial network	Funding
☐	☐	☐	☐	☐	☐
☐ Simple is better	☐ The right data	☐ DCC	☐ Central IRB	☐ More sites = better	☐ Pay sites well
☐ Interesting	☐ Quality assurance	☐ Steering committee			
☐ With equipoise	☐ Data accessible	☐ DSMB			
☐ Measurable outcome					

Capitated pay structure encourages enrollment

DCC responsibilities

- *Write protocol*
- *Day to day oversight (Project lead)*
 - *Site monitoring*
 - *Safety surveillance*
 - *Stats support*
- *Blinded → develop SAP, final analysis*
- *Unblinded → prep data for DSMB, safety reports*